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Attorney Docket No. DT 0005

## IN THE TRAITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: Venkatraman et al.

Serial No.: 09/741,959 958

Filed: Dec 20, 2000

For: PRICE OPTIMIZATION SYSTEM

Art Unit: 2161

Examiner: Unknown

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JUL 0 1 2002

Technology Center 2100

Box: Non-Fee Amendment

Hon. Assistant Commissioner for Patents

Washington, D.C. 20231

June 19, 2002

Dear Sirs:

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner of Patents, Washington, D.C. 20231 on June 19, 2002.

Signed:

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UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

**GROUP 3600** 

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to Examiner's attention in the referenced application.

- 1. U.S. Patent Number 6,308,162 to Ouimet et al. entitled "METHOD FOR CONTROLLED OPTIMIZATION OF ENTERPRISE PLANNING MOD
- International Application Number PCT/US98/10521 to Ouimet et al. entitled "A METHOD FOR INCORPORATING PSYCHOLOGICAL EFFECTS INTO DEMAND MODELS"
- 3. Alan Lewis Montgomery, "The Impact of Micro-Marketing on Pricing

Patent Application

Strategies", 1994 The University of Chicago, Vol. 55/12-A of Dissertation Abstracts International, pg 3922 (Abstract Only)

- 4. John Busch, "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, Vol. 37, No. 6, pgs 50-56
- 5. "Pacificorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This paper is submitted within three months of the filing date of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,

Cargam

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. DT0005 SERIAL NO.

09/741,959

LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56

**APPLICANT** Venkatraman et al.

FILING DATE

GROUP

				6/19/02			2161				
			U.S	S. PATENT	DOCUMENTS						
EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME		CI	LASS	SUBCLASS	SS FILING DATE IF APPROPRIATE		
	AA	6,308,162	10/23/01		Ouimet et al.					_	
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		DOCUMENT NUMBER	DATE	DATE NAME		CI	ASS	SUBCLASS	TRAN YES	SLATION NO	
	BA	WO 98/53415	11/26/98		Ouimet et al.						
		OTHER DO	CUMENT	S (Including	Author, Title, Date, Pert	tinent Pa	ges, E	ic.)			
	CA	University	Montgomery: "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago Vol. 55/12-A of Dissertation of Abstracts International, pg. 3922 (Abstract Only)								
	СВ		Busch: "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, vol. 37, no. 6, pgs 50-56								
	СС	"Pacificorp	"Pacificorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"								
EXAMINER					<u> </u>						

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.